

individual verification

CONFIRMATION OF VERIFICATION OF IDENTITY – PRIVATE INDIVIDUAL INTRODUCTION BY AN FCA REGULATED FIRM

Individual Details

Full Name of Individual

Address

Previous Address (if address has
changed in last 3 months)

Post Code

Post Code

Date of Birth

--	--	--	--	--	--	--	--

Confirmation

I confirm that:

- a. The information in the above section was obtained by me in relation to the individual
- b. The individual does not appear on the HM Treasury UK Financial Consolidated Sanctions List
- c. The evidence I have obtained to verify the identity of the individual:

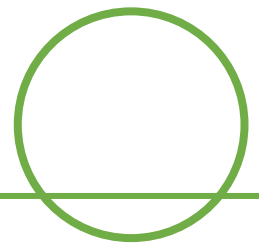
meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or

exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)

- d. The individual:

Is to be classed as a Politically Exposed Person for the reasons set out below

Reason:



Documentation Attached

Individual Identification

Address Identification

PLEASE PROVIDE CERTIFIED COPIES OF EVIDENCE OBTAINED
PLEASE NOTE A DRIVING LICENSE CANNOT BE USED FOR BOTH FORMS OF IDENTIFICATION

Details of Funds

Expected Initial Amounts

Source of Funds

Pension Transfer

Contributions

Other:

Origin of Funds

UK

Other:

Initial Transaction Type

Declaration

Signed

Name

Position

Name of Regulated Firm

FCA Firm Reference Number

Date

--	--	--	--	--	--	--	--

A separate confirmation must be completed for each individual (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the individual, the identity of that person must also be verified, and a confirmation provided.

This form cannot be used to verify the identity of any individual that falls into one of the following categories:

- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- Those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
- Those whose identity has been verified using source of funds as evidence.

Authorised and Regulated by the Financial Conduct Authority

Odyssey Pensions Limited. Registration Number 11034559. FRN 796417.

Administration Office: 15 Penybont Road, Pencoed CF35 5PY

Registered & Head Office: Celtic House, Caxton Place, Pentwyn, Cardiff CF23 8HA

O-IF 1.01 (09/18)